

SESAME — Standard Elements in Studies of Adverse events and Medical Error

<i>Elements</i>	<i>Item #</i>	<i>Guidance for reporting</i>	<i>Reported on page #</i>
TITLE AND ABSTRACT			
Title	1	Include a commonly used term (e.g., adverse event, near-miss) that conveys the study topic in the title	
Abstract	2	Provide an informative and balanced summary of background, objectives, methods, results and conclusion	
	3	Use informative wording, including keywords, to facilitate correct indexing and retrievability in bibliographic databases	
INTRODUCTION			
Background/ rationale	4	Describe the scientific and clinical background and rationale for undertaking the study (e.g., to characterize harm, measure the adverse event rate, test or compare a surveillance tool)	
Objectives	5	State specific objective(s)	
METHODS			
Study design	6a	Describe the study design (e.g., retrospective or prospective; observational or interventional)	
	6b	Provide details of any patient or public involvement during any phase of the study (from design to dissemination) or state no involvement	
Setting details	7a	Describe the country/countries in which the study takes place or that is the source for data used	
	7b	Describe the setting (e.g., academic, community, both, urban, rural)	
	7c	Describe the facility or unit type (e.g., hospital, ambulatory, intensive care unit, nursing home) and volume (e.g., single or multi-center, number of inpatient beds, annual visit volume, catchment area)	
Population details	8a	Describe any eligibility criteria related to a target medical condition or specialty (e.g., oncology, orthopedic, acute/elective care)	
	8b	Describe any eligibility criteria related to participant demographics (e.g., adult, age, race, ethnicity, gender)	
Exclusion criteria	9	Describe any exclusion criteria for participants or events	
Case finding/ sampling strategy	10a	Provide details for the study period (e.g., dates, recruitment period, duration)	
	10b	Describe the method of case finding used (e.g., all records meeting screening criteria; triggered records, computerized surveillance)	
Reviewers and training	11a	Describe reviewer recruitment, qualifications, and experience in-event adjudication	
	11b	Describe reviewer training and what performance standards for reviewers (if any) were required prior to independent review	
Review process	12a	Describe how reviews were conducted (e.g., by an individual, multiple reviewers working independently or in phases, or together as a group) and any consensus technique used	
	12b	Describe any time limit on the duration of reviews, as this may impact outcome estimates (e.g., a maximum of 20 minutes per review)	
	12c	Describe how individual events were determined (e.g., implicit or explicit review, use of an algorithm, use of automated/machine learning approaches)	
Event scope and definition	13a	Indicate the outcome(s) of interest (e.g., all-cause harm, preventable or amenable harm, errors, near misses, non-harm events) and include explicit definitions for the outcome(s) of interest. If harm is the outcome, indicate whether the definition of harm requires that an intervention take place.	

	13b	Describe the causation standard used when determining events (e.g., "caused by" vs. "resulting from or contributed to by" health care) and specify whether a scale or rating system was used to characterize the confidence of causation and if so, describe this and its origin.	
	13c	Provide the time frame(s) for event inclusion (e.g., present on arrival, during the index visit, some period of time after an intervention)	
	13d	Indicate whether event capture included acts of omission, acts of commission or both	
	13e	Indicate whether “cascading” events, where one event caused the next, were counted as a single event or each event was counted separately	
Characterization of events	14a	Describe the taxonomy or classification system used to describe the event and/or contributing factors (e.g., surgical/procedural events, health care-acquired infections, adverse drug events)	
	14b	Describe whether a severity assessment was made and if so, what scale was used and its origin	
Preventability	15a	Indicate whether preventability was assessed and if so, provide the explicit definition used	
	15b	Describe any preventability scale or rating system used, its origin and the language used for various ratings (e.g., definitely not preventable, possibly- vs. potentially preventable)	
	15c	Describe the reviewer process used in determining preventability (e.g., single or tiered review, single or dual reviewers per tier, group review)	
Quality assurance	16a	Indicate any deviations from the intended study plan	
	16b	Describe any quality assurance mechanisms to improve validity and reliability (e.g., monitoring/auditing, feedback provided to reviewers)	
	16c	Include assessment(s) of interrater reliability for outcomes in the study (e.g., whether an event represented harm, its preventability and categorizations) and describe how assessments were conducted and calculated	
	16d	For any automated electronic data capture describe whether there was any process to validate data against manual review	
Data analysis	17a	Describe all statistical methods used, including whether this study includes a post-hoc analysis and how missing data were handled	
	17b	Explain how the sample size was determined if causal inferences are implied	
	17c	Specify the unit(s) used for any presentation of event rates (e.g., number of events, number of events per patient, proportion of visits with one or more events, number of events per visit/ hospitalization, events per 100 visits/ hospitalizations or per 1000 patient days)	
	17d	Indicate whether any scales that were used to assess causation or preventability were collapsed for analysis	
	17e	Describe procedures used to assess and control for bias or confounding	
RESULTS			
Participants	18	Report the numbers of participants at each stage of the study - those eligible, excluded (with reasons for exclusion), included and analyzed, included but not analyzed. Consider including a flow diagram to depict the design and patient flow.	
Descriptive data	19	Report data on characteristics of study participants (e.g., demographic, clinical, social determinants of health)	
Study findings	20	Report the findings of study including all outcomes described in the Methods section	
DISCUSSION			
Key results	21	Summarize key results with reference to study objectives and discuss the findings in relation to existing literature	
Strengths and limitations	22	Discuss strengths and limitations of the study including sources of potential bias, and internal and external validity	